

國立中山大學碩、博士學位論文學術倫理品保管控原則

Principles for Quality Assurance and Review Process for Academic Ethics in Graduate Theses and Dissertations

109.7.28 臨時教師評審委員會通過

Approved at the University Temporary Faculty Evaluation Committee on July 28, 2020

114.12.9 第 186 次教務會議修正通過

Amended and approved at the 186th Academic Affairs Council meeting on December 9, 2025

- 一、為確立本校碩、博士學位論文學術倫理品質保證與管控，依據「學位授予法」、本校「研究生學位考試施行細則」、「碩、博士學位論文學術倫理案件處理原則」、「研究生學術倫理教育課程實施要點」及「學位論文管理辦法」等相關規範，訂定本原則。
 - I. These principles are formulated in accordance with the *Degree Conferral Act*, the University's *Regulations for the Detailed Implementation of Doctoral and Master's Degree Examination*, *Principles for Handling Academic Ethics Cases in Master's Theses or Doctoral Dissertations*, *Guidelines on the Implementation of Academic Ethics Education Courses for Graduate Students*, and *Regulations for Management of Graduate Theses and Dissertations*, among other relevant regulations, to establish quality assurance and control mechanisms for academic research ethics in graduate theses and dissertations.
- 二、本校研究生須修習通過「學術倫理教育課程」方得申請學位考試：
 - II. Graduate students shall complete and pass the “Academic Ethics Education” course before applying for the degree examination.
 - (一) 本校「研究生學術倫理教育課程實施要點」另訂之。
 1. The *Guidelines on the Implementation of the Academic Ethics Education Courses for Graduate Students* are formulated separately by the University.
 - (二) 研究生須修習通過「學術倫理教育」課程，以入學第一學年結束前修習完成為原則。
 2. Graduate students shall, in principle, complete and pass the “Academic Ethics Education” course by the end of their first academic year.
 - (三) 學生申請學位考試時，教務處複查學生修習紀錄，通過前述課程學生方得申請學位考試。
 3. The Office of Academic Affairs shall review students' academic records to make sure that they complete and pass the course before applying for the degree examination.

三、 研究生之學位論文均需經學術論文原創性比對系統檢核：

III. All graduate theses and dissertations shall be checked by an originality analysis system (hereinafter referred to as the “System”).

(一) 研究生之學位論文均需經學術論文原創性比對系統二階段（口試論文及畢業論文）之檢核。

1. All graduate theses and dissertations shall be checked by the System before the draft version is approved by their advisor for oral defense and before the final version is submitted to the OAA for approval.

(二) 學位考試一星期前，將論文初稿及原創性比對結果，提供指導教授與學位考試委員審閱。

2. The approved draft versions and the System’s originality reports shall be provided to the thesis advisor and the degree examination committee members for review one week before the degree examination.

(三) 學位考試委員於學位考試評分表上確認學位考試論文之比對結果是否符合委員要求。

3. The degree examination committee members shall confirm, on the degree examination evaluation form, whether the System reports meet their requirements.

(四) 學位考試後，研究生辦理畢業離校時，另需上傳畢業論文之「原創性比對結果報告」及「研究生學位論文符合學術倫理規範聲明書」至本校畢業論文提交系統，方得畢業離校。

4. After passing the degree examination, graduate students shall upload their Thesis or Dissertation Originality Report and Declaration for Graduates’ Thesis or Dissertation Fulfilling Academic Ethics Requirements to the University’s Electronic Theses and Dissertations Submission System before graduation.

(五) 學位論文比對相似度指標，排除引言、參考文獻、目錄、附件等，檢核比對結果之原創性總相似度指標以不超過 12% 為原則，超過者須經指導教授簽名確認未有抄襲疑慮，始得通過。

5. Excluding the introduction, references, table of contents, appendices, and other similar sections, the total originality comparison index of theses and dissertations shall, in principle, not exceed 12%. Those exceeding this threshold shall only be approved upon the advisor’s review and signature confirming that there is no concern of plagiarism.

四、 學位論文以立即公開為原則，延後公開之機制如下：

IV. All theses and dissertations shall, in principle, be made publicly accessible immediately. The mechanism for delayed publication is as follows:

- (一) 學位論文延後公開之規定另訂於本校「學位論文管理辦法」。
 - (1) Regulations governing delayed publication of theses and dissertations are separately stipulated in the University's *Regulations for Management of Graduate Theses and Dissertations*.
- (二) 研究生於申請學位考試前，應與指導教授討論學位論文公開時間，採「立即公開」或「延後公開」。
 - (2) Graduate students shall discuss with their advisor the options of "Immediate Publication" or "Delayed Publication" for the thesis or dissertation before applying for the degree examination.
- (三) 自 114 學年度（含）起，研究生若選擇延後公開，應於進行學位考試前提出申請，並檢附相關資料，於學位考試時由學位考試委員審核確認是否涉及機密、專利事項或依法不得提供。
 - (3) Beginning with the 2025 Academic Year, graduate students who choose delayed publication shall submit an application with relevant supporting documents prior to the degree examination. During the degree examination, the committee members shall review and confirm whether the content in the thesis or dissertation involve confidentiality, potential patent application, or are restricted by laws for disclosure
- (四) 論文公開時間之簽核：
 - (4) Approval and Signatures for Public Access:
 - 1. 「立即公開」由研究生及指導教授簽名。
 - i. For Immediate Publication, signatures of the graduate student and the advisor are required.
 - 2. 「延後公開」應經所有學位考試委員審核確認後，由研究生、指導教授、所有學位考試委員簽名，及系所(學程)主管認定簽章。
 - ii. For Delayed Publication, the application must be reviewed and confirmed by all degree examination committee members. It shall then be signed by the graduate student, the advisor, all degree examination committee members, and approved with the signature of the department (or program) chair.
- (五) 延後公開期間：

(5) Duration of Delayed Publication :

1. 每次申請電子全文及紙本論文延後公開至多為5年。
 - i. Each application for delayed publication of the electronic full text and printed thesis or dissertation may be granted for a maximum period of five years.
2. 第2次起之申請，需於原訂公開日期前六個月內完成申請程序，申請次數以1次為限。
 - ii. The second application for delayed publication shall be submitted within six months prior to the originally scheduled public release date, and the number of such applications shall be limited to one.
3. 第2次起之申請程序，仍應取得原所有學位考試委員審核確認，或經原就讀系所之系（所）務等會議審核確認。
 - iii. The second application for delayed publication shall again obtain confirmation from all original degree examination committee members, or be reviewed and approved by the department (institute) councils of the student's original program.

五、學術倫理案件依本校「碩、博士學位論文學術倫理案件處理原則」處理，並遵循公平、公正、客觀及明快之原則辦理。

V. Academic ethics cases shall be handled in accordance with the University's *Principles for Handling Academic Ethics Cases in Master's Theses or Doctoral Dissertations*, and in a fair, impartial, objective, and decisive manner.

六、依本原則修訂本校「碩、博士學位論文學術倫理品保管控暨審核流程」如附件。

VI. The *Procedures for Quality Assurance and Review of Academic Ethics in Graduate Theses and Dissertations* is revised in accordance with these Principles, as attached.

七、本原則經教務會議通過，陳請校長核定後實施，修正時亦同。

VII. These principles are approved by the Academic Affairs Council and the President before implementation. Amendments to these principles shall follow the same procedure.

Procedures for Quality Assurance and Review of Academic Ethics in Graduate Theses and Dissertations

Amended and approved at the 186th Academic Affairs Council meeting on December 9, 2025

Phase 1: Prior to the end of the first academic year

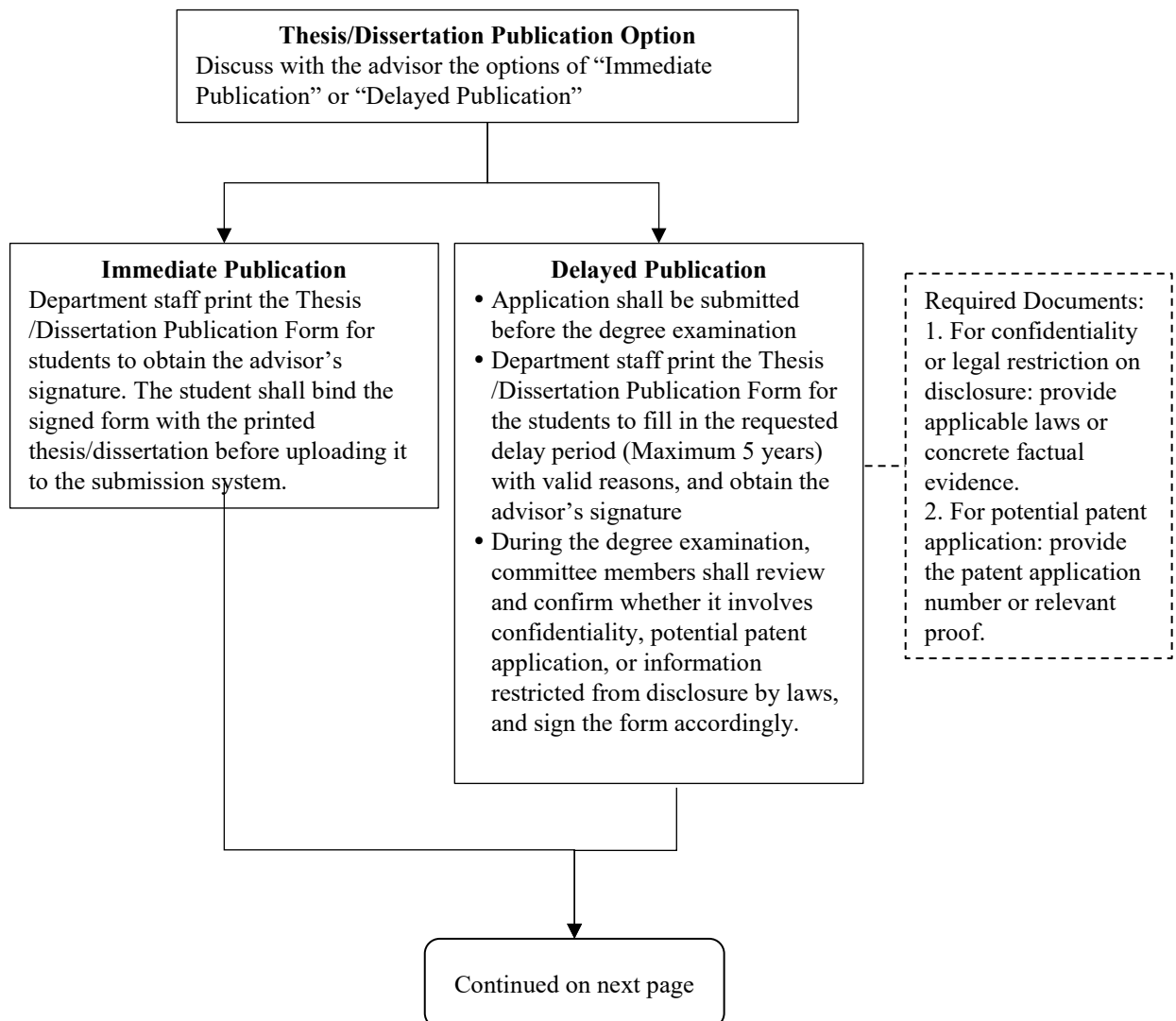
Responsible Personnel: Graduate Student

Academic Ethics Education Course Requirement
Graduate students must complete and pass the Academic Ethics Education course by the end of the first academic year.

Students who fail to pass this course are ineligible to apply for the degree examination.

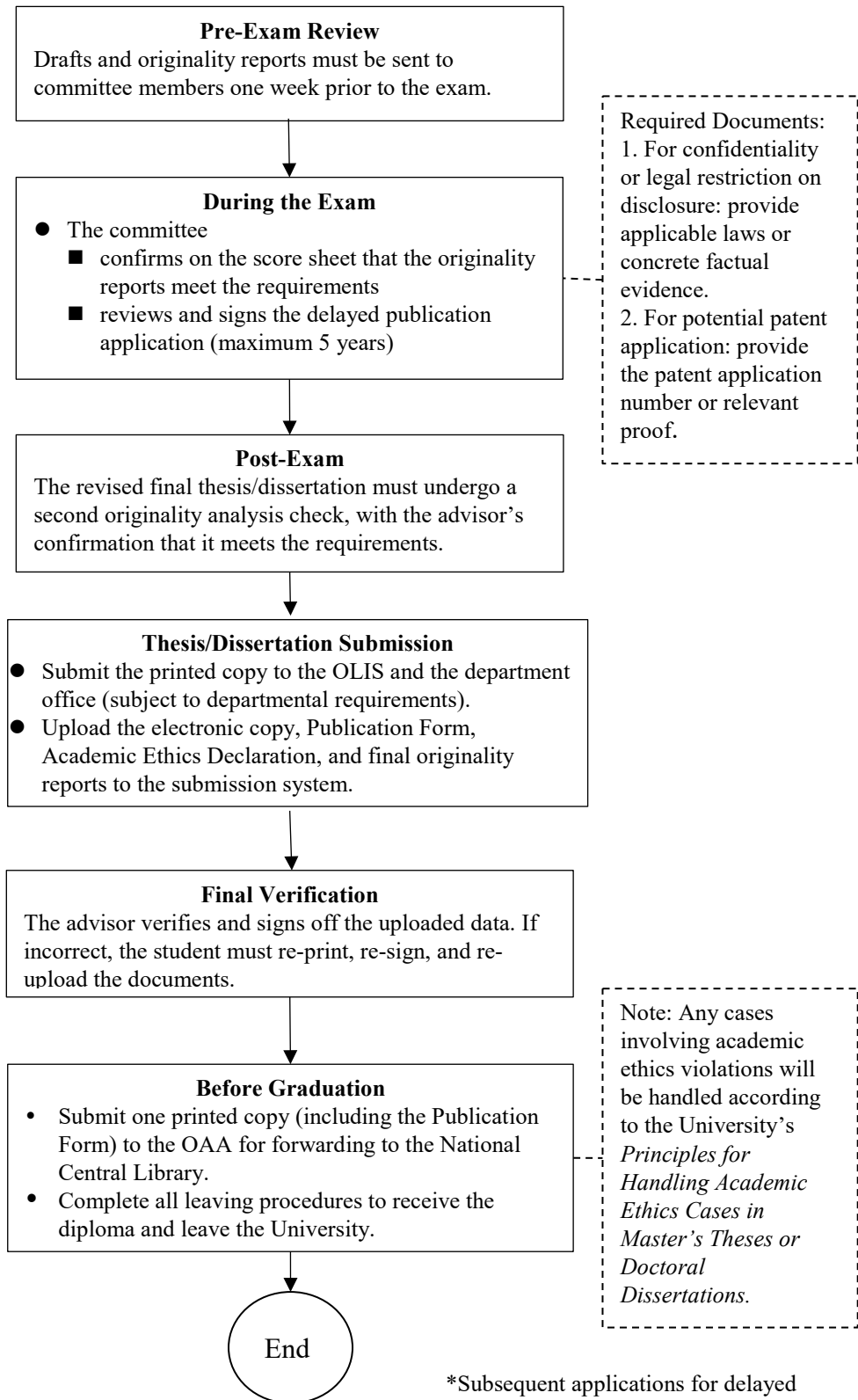
Phase 2: Application for degree examination

Responsible Personnel: Graduate Student; Thesis/Dissertation Advisor; Office of Academic Affairs (OAA); Degree Examination Committee Members



Phase 3: Pre-, During-, and Post- Examination

Responsible Personnel: Graduate student; Thesis/Dissertation Advisor; Degree Examination Committee Members; Office of Library and Information Services (OLIS)



Phase 4: Application for a Second Delay

Responsible Personnel: Graduate Student; Thesis/Dissertation Advisor;
College Council; Office of Library and Information
Services (OLIS)

