

國立中山大學海洋科學學院教師升等審查要點

Guidelines on the Evaluation of Professorship Rank Promotion in the College of Marine Sciences

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102 年 6 月 13 日本校第 355 次教師評審委員會修正通過
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107 年 6 月 7 日本院 106 學年度第 4 次院務會議修正通過
107 年 6 月 21 日本校第 388 次教師評審委員會修正通過
108 年 6 月 10 日本院 107 學年度第 4 次院務會議修正通過
108 年 6 月 13 日本校第 394 次教師評審委員會修正通過
111 年 1 月 4 日本院 110 學年度第 3 次院務會議修正通過
111 年 3 月 7 日本校第 411 次教師評審委員會修正通過
111 年 12 月 2 日本院 111 學年度第 2 次院務會議修正通過
111 年 12 月 29 日本校第 420 次教師評審委員會修正通過
112 年 3 月 6 日本院 111 學年度第 6 次教師評審委員會修正通過
112 年 3 月 20 日本院 111 學年度第 4 次院務會議修正通過
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114 年 4 月 7 日本院 113 學年度第 3 次院務會議修正通過
114 年 5 月 15 日本校第 446 次教師評審委員會修正通過

Amended and approved at the 446th University Faculty Evaluation Committee meeting on May 15, 2025

一、海洋科學學院(以下簡稱本院)為使院、系、所、學位學程辦理升等審查，依據國立中山大學教師評審委員會(以下簡稱教評會)設置辦法及國立中山大學教師升等審查辦法（以下簡稱本校辦法）訂定國立中山大學海洋科學學院教師升等審查要點（以下簡稱本要點）。

I. These guidelines are formulated to handle the evaluation of professorship rank promotion in individual departments, institutes, and degree programs of the College of Marine Sciences (hereafter referred to as the “College”) in accordance with the University’s *Regulations for the Establishment of Faculty Evaluation Committees* and *Regulations for the Evaluation of Professorship Rank Promotion*.

二、本院升等管道、審查項目及成果：

II. Tracks of promotion, evaluated items, and academic research achievements:

(一)本院教師升等管道分為一般研究類、技術應用類及教學研究類。教師應就升等管道類別擇一提出申請。

Any dispute over interpretations of these regulations shall be resolved in the court of law based on the Chinese version.

(1) The pathway of the faculty's rank promotion in the College is categorized into the tracks of general research, technology application, and pedagogical research. The faculty shall select one of the tracks to submit their application.

(二) 升等審查分學術產學研究績效(A)，包含學術研究成果外審成績(A1)及七年內本職級研究計畫獎助及學術成就(A2)、教學績效及服務績效三項，其分配比例為：

(2) The evaluation includes three categories: (A) academic & industry-academia research performance (including (A1) external evaluation of academic research and (A2) research projects and other academic achievements within the past 7 years at the current rank), (B) teaching performance, and (C) service performance. The scoring criteria are as follows:

1. 以一般研究類或技術應用類升等者：學術產學研究績效 70 分、教學績效 20 分、服務績效 10 分。

i. Applicants through the tracks of general research and technology application: academic and industry-academia research performance (70 points), teaching performance (20 points), and service performance (10 points).

2. 以教學研究類升等者：學術產學研究績效 60 分、教學績效 30 分、服務績效 10 分。

ii. Applicants through the track of pedagogical research: academic and industry-academic research performance (60 points), teaching performance (30 points), and service performance (10 points).

3. 其中學術研究成果外審成績(A1)佔學術產學研究績效(A)之比重(%)依本校教師升等審查辦法規定。

iii. The scoring percentage for (A1) external evaluation of academic research in category A is stipulated in the University's *Regulations for the Evaluation of Professorship Rank Promotion*.

4. 升等副教授之外審成績(A1)總平均須達 75 分以上、升等教授之外審成績(A1)總平均須達 78 分以上。

iv. The passing threshold of the external evaluation shall be 75 and 78 for promotion to associate professorship and professorship, respectively.

(三) 學術研究成果：

(3) Academic research achievements:

1. 教師辦理升等所提之專門著作、成就證明或技術報告件數至多十件。教師應自行擇一為代表作，屬系列相關研究者得合併為代表作，其餘列為參考作。

i. The faculty applying for promotion shall submit up to ten academic research works, evidence of achievement, or technical reports. They shall

select one representative work and use the rest as references. Related works constituting a series may be compiled into one representative work.

2. 如前經教師資格審定不合格者，重新提出申請時，其送審著作應增加或更換一件以上。

ii. Faculty members unsuccessful in a prior application may resubmit their application with at least one new reference work added as a replacement or supplement.

三、本院各級教師申請升等者，除應符合本校辦法外，並應符合以下升等條件：

III. Faculty members of all ranks in the College shall be eligible to apply for promotion if they meet the requirements stipulated in the University's regulations.

(一)講師升等依本校辦法辦理。

(1) Promotion from lectureship to assistant professorship shall be handled in accordance with the University's regulations.

(二)助理教授升等副教授：

(2) Assistant professors applying for associate professorship:

1. 申請一般研究類升等者，須符合下列條件：

i. Applicants through the track of general research shall fulfill the following criteria:

(1) 須在本職級內，於 SCI、EI、SSCI 期刊以通訊作者或第一作者發表論文三篇以上。本院具有人文、法政、社經、管理等專長之教師，得在本職級內，於 SSCI 期刊(併列 SCI 期刊者除外)以通訊作者或第一作者發表一篇（含）以上論文。

(i) They shall publish at least three papers in SCI, EI, or SSCI journals as the corresponding author or the first author at their current rank. Those specializing in humanities, law, political and social sciences, or management may publish one paper or more in SSCI journals (excluding those also indexed in SCI) as the corresponding author or the first author at their current rank.

(2) 論文篇數包含本職級發表之所有著作，但代表作必須為申請升等三年內以中山大學具名發表者為限。

(ii) The number of papers counted for rank promotion shall be those published at the current rank. The representative work shall be the paper published with the University as the affiliation within three years prior to the application.

2. 申請技術應用類升等者，須符合下列條件：

ii. Applicants through the track of technology application shall fulfill the following criteria:

- (1) 須在本職級內，曾獲得本校傑出教師(產學研究類)或產學研究績優教師獎項、或以本校教師升等各項評分原則學術產學研究績效七年內本職級研究計畫獎助及學術成就(A2)以理工醫海科院群計分，總分 24 分以上。本院具有人文、法政、社經、管理等專長之教師，亦得以文管社院及通識中心群計分。
 - (i) They shall receive the award of Outstanding Faculty in industry research or Prominent Faculty in industrial research from the University, or achieve a total score of 24 or above in (A2) research projects and other academic achievements within the past 7 years at the current rank, based on the scoring criteria for the Colleges of Science, Engineering, Medicine, and Marine Sciences stipulated in the University's *Scoring Principles for the Applications of Professorship Rank Promotion*. Those specializing in humanities, law, political and social sciences, or management may be evaluated based on the criteria for the Colleges of Liberal Arts, Management, and Social Sciences, as well as Si Wan College.
 - (2) 論文及報告包含本職級發表之所有著作，但代表作如為技術報告應經產學營運及推廣教育處認定，且為三年內以中山大學具名之研發成果。
 - (ii) Papers and reports submitted for rank promotion shall be those published at the current rank. The technical report as the representative work shall be recognized by the Office of Global Industry-Academe Collaboration and Advancement (OGIACA) as a research and development achievement with the University as the affiliation within the past three years.
3. 申請教學研究類升等教師，須符合下列條件：
- iii. Applicants through the track of pedagogical research shall fulfill the following criteria:
 - (1) 須在本職級內，曾獲得本校傑出教師(教學類)或本校教學績優教師獎項或獲本院推薦遴選本校教學績優教師獎項二次以上。
 - (i) They shall receive the award of Outstanding Faculty in teaching or Prominent Faculty in teaching from the University, or recommended by the College for the award of Prominent Faculty in teaching twice or more.
 - (2) 曾獲教育部教學實踐研究計畫一件(含)以上。
 - (ii) They shall conduct at least one MOE Teaching Practice Research Program.
 - (3) 於本職級於 SCI、EI、SSCI 期刊以第一作者或通訊作者發表論文三篇以上。本院具有人文、法政、社經、管理等專長之教師，

得以近三年於 SSCI 期刊(併列 SCI 期刊者除外)以第一作者或通訊作者發表論文一篇（含）以上。

- (iii) They shall publish at least three papers in SCI, EI, or SSCI journals as the first author or the corresponding author at their current rank. Those specializing in humanities, law, political and social sciences, or management may publish one paper or more in SSCI journals (excluding those also indexed in SCI) as the first or the corresponding author within the past three years.

- (4) 論文篇數包含本職級發表之所有著作，但教學研究著作代表作須為第一作者或通訊作者，且為三年內以中山大學具名發表者為限。

- (iv) The number of papers counted for rank promotion shall be those published at the current rank. The pedagogical research work as the representative work shall be the publication as the first author or the corresponding author with the University as the affiliation within the past three years.

(三) 副教授升等教授：

(3) Associate professors applying for professorship:

1. 申請一般研究類升等教師，須符合下列條件：

- i. Applicants through the track of general research shall fulfill the following criteria:

- (1) 須在本職級內，於 SCI、EI、SSCI 期刊以通訊作者或第一作者發表論文四篇以上。本院具有人文、法政、社經、管理等專長之教師，亦得在原職級期間內，於 SSCI 期刊(併列 SCI 期刊者除外)以通訊作者或第一作者發表一篇（含）以上論文。

- (i) They shall publish at least four papers in SCI, EI, or SSCI journals as the corresponding author or the first author at their current rank. Those specializing in humanities, law, political and social sciences, or management may publish one paper or more in SSCI journals (excluding those also indexed in SCI) as the corresponding author or the first author at their current rank.

- (2) 論文篇數包含本職級發表之所有著作，但代表作必須為三年內以中山大學具名發表者為限。

- (ii) The number of papers counted for rank promotion shall be those published at the current rank. The representative work shall be the paper published with the University as the affiliation within the past three years.

2. 申請技術應用類升等教師，須符合下列條件：

ii. Applicants through the track of technology application shall fulfill the following criteria:

- (1) 須在本職級內，曾獲得本校傑出教師(產學研究類)或產學研究績優教師獎項、或以本校教師升等各項評分原則學術產學研究績效七年內本職級研究計畫獎助及學術成就(A2)以理工海科院群計分，總分 28 分以上。本院具有人文、法政、社經、管理等專長之教師，亦得以文管社院及通識中心群計分。
- (i) They shall receive the award of Outstanding Faculty in industry research or Prominent Faculty in industrial research from the University, or achieve a total score of 28 or above in (A2) research projects and other academic achievements within the past 7 years at the current rank, based on the scoring criteria for the Colleges of Science, Engineering, Medicine, and Marine Sciences stipulated in the University's *Scoring Principles for the Applications of Professorship Rank Promotion*. Those specializing in humanities, law, political and social sciences, or management may be evaluated based on the criteria for the Colleges of Liberal Arts, Management, and Social Sciences, as well as Si Wan College.
- (2) 論文及報告包含本職級發表之所有著作，但代表作如為技術報告應經產學營運及推廣教育處認定，且為三年內以中山大學具名之研發成果。
- (ii) Papers and reports submitted for rank promotion shall be those published at the current rank. The technical report as the representative work shall be recognized by the OGIACA as a research and development achievement with the University as the affiliation within the past three years before the application.

3. 申請教學研究類升等教師，須符合下列條件：

iii. Applicants through the track of pedagogical research shall fulfill the following criteria:

- (1) 須在本職級內，曾獲得本校傑出教師(教學類)或本校教學績優教師獎項或獲本院推薦遴選本校教學績優教師獎項二次以上。
- (i) They shall receive the award of Outstanding Faculty in teaching or Prominent Faculty in teaching from the University, or recommended by the College for the award of Prominent Faculty in teaching twice or more.
- (2) 曾獲教育部教學實踐研究計畫一件(含)以上。
- (ii) They shall conduct at least one MOE Teaching Practice Research Program.

- (3) 於本職級於 SCI、EI、SSCI 期刊以第一作者或通訊作者發表論文四篇（含）以上。本院具有人文、法政、社經、管理等專長之教師，得以近三年於 SSCI 期刊(併列 SCI 期刊者除外)以第一作者或通訊作者發表論文一篇（含）以上。
- (iii) They shall publish at least four papers in SCI, EI, or SSCI journals as the first author or the corresponding author at their current rank. Those specializing in humanities, law, political and social sciences, or management may publish one paper or more in SSCI journals (excluding those also indexed in SCI) as the first or the corresponding author at their current rank within the past three years.
- (4)論文篇數包含本職級發表之所有著作，但教學研究著作代表作須為第一作者或通訊作者，且為三年內以中山大學具名發表者為限。
- (iv) The number of papers counted for rank promotion shall be those published at the current rank. The pedagogical research work as the representative work shall be the publication as the first author or the corresponding author with the University as the affiliation within the past three years.

四、系、所、學位學程教評會(以下簡稱系級教評會)辦理升等審查程序：

IV. Promotion review procedures handled by faculty evaluation committees in individual departments/institutes/degree programs (hereinafter referred to as the department faculty evaluation committee, the “DFEC”):

(一)系級教評會第一階段升等資格審查：

(1) First-stage promotion qualification review by the DFEC:

1. 申請上學期(8月1日)與下學期(2月1日)升等生效者，應分別於2月15日前與8月15日前，將有關資料送交系級教評會。
 - i. The faculty intending to apply for promotion which takes effect on August 1 (Fall semester) and on February 1 (Spring semester) shall submit relevant documentation to the DFEC by February 15 and August 15, respectively.
2. 系級教評會應於當學期開學第一週結束前，審查是否符合第三點升等條件。
 - ii. The DFEC shall complete the review on promotion applications before the end of the first week of the semester to ensure applicants meet the criteria stipulated in Article 3 herein.
3. 申請教師通過升等資格審查，系級教評會將其各項表件、會議記錄、升等著作及外審委員推薦名單，與申請教師提供之利益迴避名單及不利審查學者名單，送本院每學期第一次教評會。

- iii. Once an applicant passes the qualification review, the DFEC shall submit relevant documentation, the DFEC meeting minutes, the applicant's publications, the list of candidates for external reviewers, as well as the recusal list of interested persons and the list of scholars who might be deemed unfavorable in the evaluation (both provided by the applicant), to the College Faculty Evaluation Committee (CFEC) at its first meeting of each semester.

(二)系級教評會第二階段審查：

(2) Second-stage review by the DFEC:

1. 外審結果送回系級教評會，系級教評會應就本校辦法、本要點或相關法規之評分指標、評分標準之學術產學研究績效、教學績效及服務績效三項評定成績，成績總分達 70 分以上，且通過本校及本院辦法規定之學術研究成果外審合格門檻，則通過系級升等審查。
 - i. Once the external evaluation result is returned back to the DFEC, the DFEC shall review and score the applicant's performances in academic & academic industry research, teaching, and services based on the scoring indicators and criteria stipulated in the University's regulations, these guidelines, and relevant regulations. The applicant shall be deemed passing the department level review when receiving a total score of 70 or above and meeting the passing threshold of external evaluation stipulated in relevant regulations of the University and the College.
2. 申請教師通過系級審查者，由系級教評會將評審成績、各項表件及會議紀錄等資料，送本院教評會審議。
 - ii. Cases that pass the department level review shall be submitted along with the score of the review, relevant documentation, and the DFEC meeting minutes to the CFEC for the college level review.

五、院教評會升等審查程序：

V. Promotion review procedures handled by the CFEC:

(一)院教評會第一階段升等資格審查：

(1) First-stage promotion qualification review by the CFEC:

1. 院教評會審查申請教師是否符合第三點之升等條件。
 - i. The CFEC shall conduct the review on promotion applications to ensure applicants meet the criteria stipulated in Article 4 herein.
2. 申請教師通過系、院升等資格審查者，院教評會將其各項表件、會議紀錄及升等著作送人事室審查資格與專門著作；外審委員推薦名單、申請教師提供之利益迴避名單及不利審查學者名單等，送校教評會辦理外審作業。
 - ii. The CFEC shall submit relevant documentation, meeting minutes, and publications of the applicant passing qualification review conducted by

the DFEC and CFEC to the Office of Personnel Services for further review. The list of candidates for external reviewers shall be submitted, along with the recusal list of interested persons and the list of scholars who might be deemed unfavorable in the evaluation (both provided by the applicant), to the University Faculty Evaluation Committee (UFEC) for external evaluation.

(二)院教評會第二階段審查結果：

(2) Second-stage review by the CFEC:

1. 院教評會應就升等教師之學術產學研究績效、教學績效及服務績效，依本院各類升等管道之升等計分表評分。
 - i. The CFEC shall conduct the college level review on applicants' performance in academic and industry-academic research, teaching, and services based on the College's Scoring Sheet of Rank Promotion.
2. 升等成績總分達 70 分以上，且通過本校及本院辦法規定之學術研究成果外審合格門檻，則通過院升等審查。
 - ii. Applicants shall be deemed passing the college level review when they receive a total of 70 or above and meet the passing threshold of the external evaluation stipulated by University and the College.

(三)申請教師通過院教評會審查者，由院教評會將各項表件及會議紀錄等，送校教評會審議。

(3) Cases that pass the college level review shall be submitted along relevant documentation and the DFEC meeting minutes to the UFEC for the university level review.

六、申請升等教師如不服教評會審議結果，得於收受通知之日起三十日內，依本校相關規定辦理申復或申訴。

VI. Applicants who disagree with the decision of any level of the faculty evaluation committee may file a grievance or an appeal within thirty days of receiving the notification in accordance with the University's relative regulations.

七、教育人員任用條例修正施行前(86 年 3 月 19 日前聘任)已聘任且繼續任教且未中斷之講師，得因獲得博士學位以博士學位申請自次學期起改聘為助理教授或申請經升等程序逕升為副教授。

VII. Incumbent lecturers who were appointed (by March 19, 1997) before the amendments to *Act Governing the Appointment of Educators* and have been teaching continuously without interruption, once they obtain their doctoral degree, shall apply for direct reappointment as an assistant professor or through rank promotion procedure as an associate professor, effectively from the next semester.

八、本要點經院教評會、院務會議及校教師評審委員會審議通過，陳請校長核定後實行，修正時亦同。

- VIII. These guidelines are approved by the CFEC, the College Council, the UFEC, and the President before implementation. Amendments to these guidelines shall follow the same procedure.