

國立中山大學社會科學院教師升等審查要點

Guidelines on the Evaluation of Professorship Rank Promotion in the College of Social Sciences

92.04.23 本院 91 學年度第 5 次教師評審委員會修正通過
92.05.07 本院 91 學年度第 4 次院務會議修正通過
92.05.14 本校第 273 次教師評審委員會修正通過
93.04.29 本院 92 學年度第 6 次教師評審委員會修正通過
93.06.09 本院 92 學年度第 4 次院務會議修正通過
93.09.30 本校第 291 次教師評審委員會修正通過
94.09.28 本院 94 學年度第 1 次教師評審委員會修正通過
94.11.08 本院 94 學年度第 1 次院務會議修正通過
94.12.01 本校第 298 次教師評審委員會修正通過
102.11.19 本院 102 學年度第 3 次教師評審委員會修正通過
102.11.21 本院 102 學年度第 1 次院務會議修正通過
102.12.12 本校第 358 次教師評審委員會修正通過
103.10.16 本校第 363 次教師評審委員會修正通過
106.03.02 本院 105 學年度第 2 次院務會議修正通過
106.03.23 本校第 379 次教師評審委員會修正通過
107.05.23 本院 106 學年度第 4 次院務會議修正通過
107.06.21 本校第 388 次教師評審委員會修正通過
111.12.06 本院 111 學年度第 5 次教師評審委員會修正通過
111.12.07 本院 111 學年度第 2 次院務會議修正通過
112.02.21 本院 111 學年度第 7 次教師評審委員會修正通過
112.02.22 本院 111 學年度第 4 次院務會議修正通過
112.03.09 本校第 421 次教師評審委員會修正通過

Amended and approved at the 421st University Faculty Evaluation Committee meeting on March 09, 2023

- 一、為辦理社會科學院（以下簡稱本院）教師升等，依據專科以上學校教師資格審定辦法與本校教師升等審查辦法，訂定本要點。
 - I. These guidelines are formulated to handle the evaluation of professorship rank promotion in the College of Social Sciences (hereinafter referred to as the “College”) in accordance with *Regulations Governing Accreditation of Teacher Qualifications at Junior Colleges and Institutions of Higher Education* and the University’s *Regulations for the Evaluation of Professorship Rank Promotion*.
- 二、教師升等申請案須經各所（系、中心）教師評審委員會（以下簡稱教評會）、本院教師評審委員會與本校教師評審委員會審查。院級單位主聘教師之升等案，逕由院級與校級審查。
 - II. Applications for promotion shall be reviewed by the department/institute/degree program/center, the College, and the University in succession, conducted by the department faculty evaluation committees (hereinafter referred to as the “DFEC”), the College Faculty Evaluation Committee (CFEC), and the University Faculty Evaluation Committee (UFEC), respectively. The applications of the faculty directly appointed by the College shall be reviewed by the CFEC and UFEC.
- 三、本院教師必須符合下列條件方得提出升等申請（以下稱申請人）：
 - III. The faculty in the College shall be eligible to apply for promotion if

(一)符合本校教師升等審查辦法第二條及第三條。

(1) they meet both the requirements stipulated in Articles 2 & 3 of the University's *Regulations for the Evaluation of Professorship Rank Promotion* and

(二)各級教師依升等管道，申請資格須符合下列條件之一：

(2) one of the following conditions based on the selected track of promotion:

1. 一般研究類：於本職級內需符合下列條件：

i. General research (Applicants shall fulfill one of the following criteria at their current rank):

(1) 副教授升等教授：

(i) Promotion from associate professorship to professorship:

A.獲國家科學及技術委員會(以下簡稱國科會)甲種研究獎助或獲國科會研究計劃主持人費合計 3 次。

A. receiving the National Science Technology Council (NSTC) Category A Award, or being granted allowance three times for serving as the principal investigator (PI) of an NSTC research project

B.SSCI 論文 1 篇。

B. publishing one paper in SSCI journals

C.匿名審查制度出版而具學術價值之專書 1 本。

C. publishing one book that undergoes an anonymous review and demonstrates high academic value

D.具有匿名外審審查制度之期刊或專書單一作者論文 4 篇。

D. publishing four single-authored papers in journals or books that undergo an external anonymous review

E.TSSCI 論文 3 篇。

E. publishing three papers in TSSCI journals

(2) 助理教授升等副教授：

(ii) Promotion from assistant professorship to associate professorship:

A.獲國科會甲種研究獎助或獲國科會研究計劃主持人費合計 2 次。

A. receiving the NSTC Category A Award, or being granted allowance twice for serving as the PI of an NSTC research project

B.SSCI 論文 1 篇。

B. publishing one paper in SSCI journals

C.匿名審查制度出版而具學術價值之專書 1 本。

C. publishing one book that undergoes an anonymous review and demonstrates high academic value

D.具有匿名外審審查制度之期刊或專書單一作者論文 3 篇。

D. publishing three single-authored papers in journals or books that undergo an external anonymous review

E.TSSCI 論文 2 篇。

E. publishing two papers in TSSCI journals

(3) 講師升等助理教授：

(iii) Promotion from lecturership to assistant professorship:

A.具有博士學位證書（不限年資）。

A. holding a doctoral degree certificate (no work seniority required)

B.具有博士學位論文價值相當之著作。

B. publishing academic works that demonstrate equivalent value to doctoral dissertations

C.獲國科會乙種研究獎助或獲國科會研究計劃主持人費合計 2 次。

C. receiving the NSTC Category B Award or being granted allowance twice for serving as the PI of an NSTC research project

D.SSCI 論文 1 篇。

D. publishing one paper in SSCI journals

E.具學術價值之專書 1 本。

E. publishing one book that demonstrates high academic value

F.具有匿名外審審查制度之期刊或專書單一作者論文 2 篇。

F. publishing two single-authored papers in journals or books that undergo an external anonymous review

G.TSSCI 論文 1 篇。

G. publishing one paper in TSSCI journals

2. 教學研究類：於本職級內獲得本校傑出教學獎（教學傑出教師）每次 10 分、優良教學獎（教學績優教師）每次 5 分，共計達 20 分以

上者。

- ii. Pedagogical research: Applicants shall receive at least 20 points from the University's teaching awards at the current rank. The Outstanding Teaching Award (currently known as the award of Outstanding Faculty in teaching) worths 10 points whereas the Teaching Award (currently known as the award of Prominent Faculty in teaching) 5 points.

3. 技術應用類：需符合下列條件：

- iii. Technology application (Applicants shall fulfill the following criteria):

- (1) 以技術報告送審者，需於本職級曾獲得本校傑出教師(產學研究類)或產學研究績優教師之獎項者、或本校教師升等各項評分原則之學術產學研究績效-A2 七年內本職級研究計畫獎助及學術成就得分總計 24 分以上者。
- (i) Applicants submitting a technical report for rank promotion shall receive the award of Outstanding Faculty in industrial research or Prominent Faculty in industrial research from the University, or achieve a total score of 24 or above in A2 research projects and other academic achievements within the past 7 years at the current rank stipulated in the University's *Scoring Principles for the Application of Professorship Rank Promotion*.
- (2) 技術報告代表成果須經產學營運及推廣處認定，且為本職級申請升等前五年以中山大學具名之研發成果。合計本職級其他著作，其篇數需達一般研究類升等之條件。
- (ii) The representative technical report shall be recognized by the Office of Global Industry-Academe Collaboration and Advancement (OGIACA) as a research and development achievement with National Sun Yat-sen University as the affiliation within five years before the application. The total number of publications at the current rank shall meet the same threshold as that through the track of general research.

四、申請人得以本職級之專門著作或技術報告作為學術研究或教學成果辦理外審，惟提送件數至多 10 件。申請人應自行擇一為代表作，其餘列為參考作，其屬系列之相關研究者，得合併為代表作。

IV. Applicants may submit academic works or technical reports completed at their

current rank as academic research or teaching achievements for external evaluation. The applicant may submit up to 10 works for rank promotion, and shall select one representative work and the rest as reference works. Related works constituting a series may be compiled into one representative work.

如前經教師升等審查未通過者，重新提出申請時，其送審著作應增加或更換 1 件以上。

Applicants failing their prior application for rank promotion may resubmit the application, with at least one new work added as a replacement or supplement.

申請人應配合升等審查作業，提出必要之相關證明文件。

Applicants shall provide required supporting documents to facilitate the review process.

五、本院教師升等審查包括學術產學研究績效、教學績效及服務績效。其計分比率如下：

V. Applications for rank promotion shall be reviewed based on the performance in academic & industry-academia research, teaching, and service categories, with the scoring percentage as below:

(一)一般研究：學術產學研究績效(A)占總分的 70%(其中學術研究成果外審成績(A1)占(A)之比重 75%)，教學績效(B)占總分 20%，服務績效(C)占總分 10%。

(1) General research:

(A) Academic and industry-academia performance: 70% (75% thereof allocated to the (A1) external evaluation of academic research), (B) teaching performance: 20%, and (C) service performance: 10%.

(二)教學研究：學術產學研究績效(A)占總分的 50%(其中學術研究成果外審成績(A1)占(A)之比重為 60%)，教學績效(B)占總分 40%，服務績效(C)占總分 10%。

(2) Pedagogical research:

(A) Academic and industry-academia performance: 50% (60% thereof allocated to the (A1) external evaluation of academic research), (B) teaching performance: 40%, and (C) service performance: 10%.

(三)技術應用：學術產學研究績效(A)占總分的 70%(其中技術報告外審成績(A1)占(A)之比重 40%)，教學績效(B)占總分 20%，服務績效(C)占總分 10%。

(3) Technology application:

(A) Academic and industry-academic performance: 70% (40% thereof allocated to the (A1) external evaluation of technical reports), (B) teaching performance for 20%, and (C) service performance for 10%.

三項加總合計應達 70 分（含）以上，另學術研究成果或技術報告外審需四名外審委員評等為優良以上，且升等為教授者平均分數需達 80 分(含)以上；升等為副教授者，平均分數需達 77 分(含)以上，始為通過升等。

Applicants shall be deemed passing the rank promotion when they receive a total score of 70 or above from the three categories, and a “Good” grade from at least four external reviewers. Moreover, the passing threshold of the external evaluation shall be 77 and 80 for promotion to the associate professorship and professorship, respectively.

以上各項成績之評分指標依本院教師升等審查評分細則辦理，本院教師升等審查評分細則另訂之。

Scoring indicators stipulated in the preceding Subparagraphs shall be handled in accordance with *Guidelines on the Detailed Implementation of Evaluation of Professorship Rank Promotion in the College of Social Sciences*, which shall be separately formulated by the College.

六、系級教評會升等審查程序：

VI. Promotion review procedure handled by the DFEC:

(一)申請升等之教師，應提前將有關資料送交系級教評會，由系教評會將擬升等教師之學術研究成果及迴避名單，送每學期第一次院教評會進行學術研究成果審查後，提交校級單位送外審，外審結果送回系級教評會審查。

(1) Applicants shall submit relevant materials to the DFEC for review. Upon approval, their academic research achievements and a recusal list of external reviewers shall be delivered by the DFEC to the CFEC first meeting of the semester for review; the cases then shall be sent for external evaluation by the University, with the result returned back to the DFEC for deliberation.

(二)申請升等教師通過系級審查者，由系級教評會召集人加註評語，連同審查成績、各項表件及會議記錄等資料提送本院每學期末之院教評會審查。

- (2) Cases that pass the department level review shall be submitted along with the score of the review, relevant documentation, the DFEC meeting minutes, and the chairperson's comments to the CFEC for review at the end of each semester.

七、院教評會升等審查程序：

VII. Promotion review procedure handled by the CFEC:

(一)本院教評會辦理教師升等審查時，須經院教評會委員三分之二以上出席，始得開會，並得邀請相關人員提供書面補充資料或列席說明。審查結果如有疑慮需投票表決，以無記名方式進行，獲出席委員三分之二以上同意始得議決。

(1) At least two-thirds of the CFEC members shall attend to review rank promotion cases, and relevant personnel may be asked to submit supporting documents in writing or be invited to attend and provide explanations. Any doubts about the review result shall be resolved through anonymous voting, with the resolution approved by at least two-thirds of the CFEC attendees.

(二)院教評會召集人應就通過審查之教師加註評語，連同評審成績、各項表件及會議記錄等，於第一學期 12 月底前或第二學期 6 月 16 日前，送校教評會審議。

(3) Cases that pass the college level review shall be submitted, along with the score of the review, relevant documentation, the CFEC meeting minutes, and the chairperson's comments to the UFEC for review by the end of December in the Fall semester or by June 16 in the Spring semester.

(三)校教評會升等審查通過之教師證書生效日為次一學期初(2 月 1 日或 8 月 1 日)。

(2)The new Teacher's Certificate of the approved applicant shall take effect on either February 1 or August 1, at the start of the semester following the approval from the UFEC.

八、教師升等案經本院教評會審查未通過者，院教評會應具體敘明其理由及檢附評審意見通知申請人。

VIII.The CFEC shall notify applicants not passing the promotion evaluation with solid reasons and comments.

申請人如不服前項審查結果，得依本校教師升等審查辦法提出申復，或逕

依本校教師申訴評議委員會組織及評議要點規定向本校教師申訴評議委員會提出申訴。

Applicants who disagree with the aforementioned evaluation result may file a grievance in accordance with University's *Regulations for the Evaluation of Professorship Rank Promotion*, or file an appeal to the Faculty Appeal Handling Committee in accordance with the University's *Guidelines on the Establishment of the Faculty Appeal Handling Committee*.

九、本要點如有未盡事宜，悉依專科以上教師資格審定辦法、本校教師升等審查辦法及本校相關規定辦理。

IX. Matters not covered herein shall be handled in accordance with *Regulations Governing Accreditation of Teacher Qualifications at Junior Colleges and Institutions of Higher Education* and the University's *Regulations for Evaluation of Professorship Rank Promotion* and other relevant regulations.

十、本要點經院教評會、院務會議及本校教師評審委員會審議通過，送請校長核定後實施；修正時亦同。

X. These guidelines are approved by the CFEC, the College Council, the UFEC, and the President before implementation. Amendments to these guidelines shall follow the same procedure.