

國立中山大學創新創業基金補助與投資管理要點

Guidelines on the Management of Grants and Investments from NSYSU Innovation and Entrepreneurship Fund

106年 11月 22日本校 106 學年度第 3次校務基金管理委員會會議通過

107年 3月 5日本校 106 學年度第 2 學期第 1次學術協調會修正通過

107年 3月 7日本校 106 學年度第 2學期第 1次行政會議修正通過

107年 3月 9日本校 107 年度第 1次校務基金管理委員會修正通過

111.10.5本校 111 學年度第1學期第3次行政會議修正通過

111.12.9本校111年度第3次校務基金管理委員會修正通過

Approved by the 3rd University Endowment Fund Management Committee Meeting on December 9, 2022

- 一、 國立中山大學（以下簡稱本校）為營造校園創新創業生態，鼓勵本校師生與校友積極參與創新及創業，將校園原生技術及創意加速轉譯進而衍生新創事業，特依「國立中山大學校務基金自籌收入收支管理規定」訂定本要點。
 - I. These guidelines are formulated in accordance with the *Regulations for the Management of Self-generated Income of the University Endowment Fund* to foster a culture of innovation and entrepreneurship on campus by encouraging faculty, students, as well as alumni to proactively engage in innovative and entrepreneurial activities, and advancing the transformation of university-originated technologies and ideas into startups.
- 二、 本基金經費來源為本校校務基金自籌收入。
 - II. The fund for these guidelines shall be sourced from the self-generated income of the University Endowment Fund.
- 三、 本要點之補助及投資對象如下：
 - III. The recipients of grants and investments under these guidelines are as follows:
 - (一) 師生創業團隊。
 - (1) startup teams by faculty and students
 - (二) 新創公司。
 - (2) startups

團隊或公司成員組成須半數(含)以上為本校教師(含已退休及借調教師)、本校在校學生或畢業校友，且申請人必須為校園技術、創意主要持有者或擔任營運決策者。

Teams or startups shall have at least half of their members as faculty (including retired and seconded faculty), current students, or alumni of the University, with the applicants being the primary holders of university technologies and ideas, or serving as the chief operation decision-maker in the management.

四、申請案件補助及投資原則如下：

IV. The principles for the application of grants and investments are as follows:

(一) 創業團隊採補助形式辦理者，補助額度以 100 萬元整(含稅)為上限。創業團隊補助之經費項目以人事費、業務費及雜支為編列原則。

(1) Startup teams may receive a grant of up to TWD 1 million (inclusive of taxes), with the funding allocated for personnel expenses, operational expenses, and miscellaneous expenses.

(二) 新創公司採投資(或合資)形式辦理者，投資(或合資)額度以 1,000 萬元整為上限，投資(或合資)持股以不超過 49%為原則。新創公司之資金運用應符合審查委員會審議通過之計畫內容執行。

(2) Startups may receive funding through investment (or joint venture), with the funding amount not exceeding TWD 10 million or equity holdings limited to no more than 49%. The fund utilization of startups shall adhere to their plan approved by the review committee before implementation.

(三) 申請案件之補助與投資額度經「創新創業基金補助與投資管理審查委員會」審議通過後，簽請校長核定之。

(3) The grant and investment amounts for the application shall be reviewed and approved by the “review committee of innovation and entrepreneurship fund for grant/investment management” (hereinafter referred to as the “Committee”), and submitted to the President for final approval.

五、案件申請須知由產學營運及推廣教育處另訂之。

V. The application notes shall be separately formulated by the Office of Global Industry-Academe Collaboration and Advancement (OGIACA).

六、案件審查程序：

VI. Review procedure:

(一) 為辦理申請案件審查作業，應成立「創新創業基金補助與投資管理審查委員會」，校長或副校長擔任召集人，產學處處長及智財新創組組長為當然委員；其他委員另依申請案之領域類別，由校長授權產學處處長遴選 2 至 3 名校內外具業界實務經驗、創投代表、投資理財專業人士聘任之。

(1) The Committee shall be established to handle the application review process, with the President or the senior vice president in charge serving as the convener, as well as the vice president of OGIACA and the director of Innovation and Intellectual Property Division (IIPD) serving as ex-officio members. Based on the specific field of each case, the vice

president of OGIACA authorized by the President shall select two to three members from on/off campus professionals with practical industry experience, venture capital representation, or expertise in investment and financial management.

- (二) 審查委員須簽署保密及利益迴避切結書，以確保維護委員會各項運作及工作流程相關資訊之保密及利益迴避。
- (2) The Committee members shall sign a confidentiality agreement and conflict of interest declaration to ensure the confidentiality of related information regarding the Committee's operation and to avoid any conflicts of interest.

七、 案件審查重點應包含下列項目：

VII. The case review shall focus on the following key points:

- (一) 創業構想或產品之創新、創意。
 - (1) innovation and creativity of business ideas or products
- (二) 商業模式與技術可行性。
 - (2) feasibility of the technology and business model
- (三) 團隊組成結構與執行力。
 - (3) composition and execution capability of the team
- (四) 市場運作規劃完整度。
 - (4) completeness of market operation plan
- (五) 資金規劃運用合理性。
 - (5) rationality of fund planning and utilization
- (6) 其他：媒體報導、國內外獎項、技術移轉、產學合作、外部投資等有助於佐證技術或創新模式參考資料。
- (7) others: media reports, national and international awards, technology transfer, industry-academia collaborations, external investment, and any additional materials conducive to supporting technology or innovative approach

八、 案件經本校核定補助或投資後，應完成下列經費核撥程序：

VIII. After the University approves cases for grants or investments, the fund disbursement procedures below shall be followed:

- (一) 經本校核定補助之團隊，於收到本校通知函後，應出具領據，並設立籌備戶之專款專戶，向本校辦理請款作業。執行期間以審查委員會核定之期間為限，結案時受補助團隊應檢附經費使用明細表，若尚有賸餘款應全數繳回。團隊補助款之支出憑證應依會計法相關規定妥善保

存與銷毀。

(1) Upon receiving the notification letter from the University, teams approved for grants shall provide the form of payment information, set up a preparatory account, and then proceed with the funding procedure. Grants shall be used within the period approved by the Committee. The teams shall submit a detailed report on fund expenditure, with any remaining fund fully returned. Receipts for expenditure shall be properly retained and disposed of in accordance with the *Accounting Act* and other relevant regulations.

(二) 經本校核定投資之公司，於收到本校通知函後，應提供本校該公司金融機構名稱(含分行別)、帳戶名稱及銀行帳號，向本校辦理核撥事宜。公司收到投資款後，應提供本校股東持股證明書 1 式。

(2) Upon receiving the notification letter from the University, approved startups shall provide the name of their financial institution (including the branch), account name, and bank account number to facilitate the fund disbursement. After receiving the investment, the company shall issue a share certificate to the University in a single copy.

九、 案件經本校核定補助或投資後，應依照補助及投資成效管考機制完成下列程序：

IX. After cases are approved for grants or investment, the following procedures shall be completed in accordance with the grant and investment performance evaluation mechanism:

(一) 獲補助之創業團隊需加入中山貨櫃創業團隊，並接受智財新創組輔導。

(1) Startup teams receiving grants shall join the NSYSU Startup Quarter and receive guidance provided by the IIPD.

(二) 獲投資之新創公司需進駐智財新創組。

(2) Startups receiving investment shall be based at the IIPD.

(三) 受補助或投資之單位需與本校簽訂合約，履行相關權利及義務。違者將取消補助或投資資格，並不得再次申請。

(3) Recipients of grants or investment must sign a contract with the University to fulfill their rights and obligations. Failure to comply may result in the revocation of grant or investment eligibility and the prohibition on reapplying.

十、 本基金補助或投資情形應於校務基金管理委員會報告備查。

X. The status of grants or investments from this fund shall be reported to the University Endowment Fund Management Committee for future reference.

十一、本要點經行政會議與校務基金管理委員會通過，陳請校長核定後施行，修正時亦同。

XI. These guidelines are approved by the Executive Meeting, the University Endowment Fund Management Committee, and the President before implementation. Amendments to these guidelines shall follow the same procedure.