

**NATIONAL SUN YAT-SEN UNIVERSITY**  
**College of Marine Sciences**

**Guidelines on Evaluation of Academic Research Excellence Teacher**

Approved by the 2<sup>nd</sup> College Faculty Evaluation Meeting on March 29, 2012, 2<sup>nd</sup> Semester of School Year 100.  
 Approved by the 3<sup>rd</sup> College General Meeting on April 12, 2012, School Year 100.  
 Approved by the 4<sup>th</sup> College Faculty Evaluation Meeting on January 8, 2013, 1<sup>st</sup> Semester of School Year 101.  
 Approved by the 3<sup>rd</sup> College General Meeting on March 21, 2013, School Year 101.  
 Approved by the 1<sup>st</sup> College General Meeting on November 14, 2014, School Year 103.  
 Approved by the 1<sup>st</sup> College General Meeting on September 24, 2015, School Year 104.  
 Approved by the 5<sup>th</sup> College General Meeting on June 2, 2016, School Year 104.  
 Approved by the 3<sup>th</sup> College General Meeting on March 9, 2017, School Year 105.  
 Approved by the 3<sup>th</sup> College General Meeting on January 28, 2021, School Year 109.  
 Approved by the 4<sup>th</sup> College General Meeting on April 1, 2021, School Year 109.

- I. The present guidelines are issued in accordance with Chapter 5, Section 2, Item 1 of “National Sun Yat-sen University (NSYSU) Implementation Regulations for Recruitment and Retention of Special Outstanding and Talented Faculty”.
- II. Basic qualifications: full-time faculty, contract faculty, contract researchers (excluding postdoctoral fellows) with over one year working experience in NSYSU and meet the following requirements may apply “Academic Research Excellence Teacher”:
  - i. Must comply with the “National Sun Yat-sen University Teacher Academic Ethics Implementation Plan”.
  - ii. The applicant must, within the last three years, be the principle investigator for at least three annual Ministry of Science and Technology (MOST) funded research projects, MOST International Cooperative Programs (excluding projects of pure overseas travel allowance or participating in international conferences), Ministry of Education (MOE) Teaching Practice Research Programs, or industry-academic projects recognized by the Office of Global Industry-Academe Collaboration and Advancement. The applicant also must have published at least two papers in “Science Citation Index Expanded (SCIE)” journal(s) or one paper in “Social Science Citation Index (SSCI)” journal within the last three years.
- III. The calculation method and proportion of applicants’ projects, papers, and impact factor of papers (three items) are as follows:

Items	Calculation Method	Max. Score
The principle investigator for an annual MOST funded research project or MOST International Cooperative Program (excluding projects of pure overseas travel allowance or participating in international conferences ) or MOE Teaching Practice Research Programs	4 points for the first three cases; 1 point for each additional case. Max. 15 points.	15
Number of papers	1 point for each Max. 10 points	10

Impact Factor of papers	<p>5 papers at the maximum.  Calculation for Impact Factor of papers is as follows:  SCI papers = <math>16 \times (1 - \% \text{ ranking})^2</math>  SSCI papers = <math>16 \times 2 \times (1 - \% \text{ ranking})^2</math>  Paper that listed in both SCI and SSCI journal may counted as SSCI.</p>	75
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- i. Accounted MOST research projects or MOE Teaching Practice Research Programs are those dated within three years prior to the application deadline. For instance, for an application in April 2016, the projects such as MOST102-XXXX-X-XXX-XX, MOST103-XXXX-X-XX and MOST104-XXXX-X-XX (dated from 2013 to 2015) may be accounted. For multiyear research project, it'll be accounted according to the indicated year of project number. For instance, for an application in April 2016, the project MOST102-XXXX-X-XXX-XX (from 2013) be accounted as three projects; the project MOST103-XXXX-X-XX (from 2014) be accounted as two projects, and so on.
  - ii. Accounted papers are those dated within three years prior to the application deadline. For instance, for an application in April 2013, the papers dated from January 1<sup>st</sup>, 2010 to December 31<sup>st</sup>, 2012 may be accounted, the date of official publication shall prevail. Journal ranking is based on the best ranking of the latest published information.
  - iii. The above-mentioned papers must be published in the name of NSYSU and the applicant must be the lead or corresponding author other than student authors. When calculating impact factors, if the paper has more than one lead or corresponding author, points given to the paper shall be divided by the number of lead or corresponding authors; each paper may only be accounted once. Lead and corresponding authors are separately accounted. Any dispute over thesis recognition shall be settled by the Office of Research and Development. (Note: according to the approval by the 7th College Faculty Evaluation Meeting, the paper could be accounted as the affiliation of lead author or corresponding author is NSYSU).
  - iv. A full-time faculty member of the College that published a paper in the name of NSYSU in Science or Nature journals (series of papers and reader responses not included) within the last three years is exempt from Articles II, III, and IV in the present guidelines and is given priority in ranking. Applications must be approved by the Office of Research and Development.
  - v. The applicant shall attach relevant supporting documents (e.g. MOST projects, MOE Teaching Practice Research Programs, cover page of the publications, journal ranking, etc.) for review. Those who are not attached will not be counted.
- IV. Evaluation process: the applicant must meet the basic qualification, and must complete the “NSYSU Academic Research Excellence Teacher” application form of the College each year by the time announced by the Office of Research and Development, and submit to the College after the review by the Department Faculty Evaluation Committee. Applications shall be reviewed by the College Faculty Evaluation Committee, ranked in order (number of approved applications limited to 30% of number of faculty members in the College) and submitted to the Office of Research and Development.
- V. The present guidelines shall be implemented following approvals of the College General Meeting. The same procedure shall be carried out when amendments are to be made.

(The guidelines in English are translated from the original Chinese. In the event of any discrepancies between the two versions, the Chinese version prevails.)

【format of cover】

# “NSYSU Academic Research Excellence Teacher”

## College of Marine Sciences

### Application

Applicant		Department	
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Check List of documents to be submitted

No.	Document	Attached or not
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The following documents shall be prepared in order, and submit to the Department Faculty Evaluation Committee

1	“Application Form for Academic Research Excellence Teacher” of the College of Marine Sciences	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(1) mark * for corresponding authors	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(2) mark SCI paper or SSCI paper	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(3) The points are divided equally among authors if there are co-first authors or co-corresponding authors	<input type="checkbox"/> Yes <input type="checkbox"/> No
2	Certificate of attending academic ethics courses (not less than two hours) in the past three years	<input type="checkbox"/> Yes <input type="checkbox"/> No
3	MOST research project approval list	<input type="checkbox"/> Yes <input type="checkbox"/> No
4	Table of Industry-academic Project	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Cover page, category and ranking of SCI/SSCI publications	<input type="checkbox"/> Yes <input type="checkbox"/> No

Signature:

Date:

**NATIONAL SUN YAT-SEN UNIVERSITY**  
**College of Marine Sciences**

**Application Form for Academic Research Excellence Teacher**

Department:	Applicant:		
Items	By Applicant		By Department
The applicant shall be a full-time faculty, contract faculty or contract researcher (excluding postdoctoral fellows) with over one year working experience in NSYSU	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No Stamp: _____
The applicant must comply with the “National Sun Yat-sen University Teacher Academic Ethics Implementation Plan”. (Please attach the certificate of attending academic ethics courses in the past three years)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No Stamp: _____
The applicant shall be the principle investigator for at least three annual MOST funded research projects, MOST International Cooperative Programs (excluding projects of pure overseas travel allowance or participating in international conferences), MOE Teaching Practice Research Programs, or industry-academic projects recognized by the Office of Global Industry-Academe Collaboration and Advancement, and also must have published at least two papers in “Science Citation Index Expanded (SCIE)” journal(s) within the last three years.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No Stamp: _____
For the accounted theses in this application, the applicant is the lead or corresponding author other than student authors and with NSYSU being the first publisher.	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No Stamp: _____
Applicant published in the name of NSYSU in <i>Science</i> or <i>Nature</i> journals (series of papers and reader responses not included) within the last three years. (Please attached the publication)	<input type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No Stamp: _____

Total Score by the Department	_____ Points
Total Score by the College	_____ Points

I. The MOST funded research projects, MOST International Cooperative Programs and MOE Teaching Practice Research Program (15 points max.)

No.	Project Code	Project Name	Start/End Date	Score
1				
2				
3				
4				
Subtotal (A)				

II. Number of Theses (10 points max.)

No.	All authors, thesis title, journal, volume, page (discipline: ranking/total number of articles)	Score
1		1
2		1
3		1
4		1
5		1
·		1
·		·
·		·
Subtotal (B)		

III. Scoring for SCIE and SCI thesis impact factors (75 points max.)

No.	All authors, thesis title, journal, volume, and page numbers	SCI/SSCI category: Ranking/Number of Articles	Ranking %	Score
1				
2				
3				
4				
5				
Subtotal (C)				

Note:

1. Please provide information on all authors, paper title, journal, volume, page numbers (please mark \* for corresponding authors), and attach the cover page, category, as well as category ranking/total number of each article. Please mark if it is a SSCI paper.
2. Applicant must be the lead author or corresponding author. The points given to the paper are divided equally among authors if there are co-first authors or co-corresponding authors).

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