National Sun Yat-sen University

Institute of Economics

Regulations for Promotion Evaluation of Faculty

Approved by the 8th College Faculty Evaluation Committee Meeting for the Academic year 1999 on 15th June, 2000 Approved by the Institute Affairs Meeting for the Academic year 2002 on 20th November, 2002 Approved by the 2th College Faculty Evaluation Committee Meeting for the Academic year 2002 on 26th June, 2002 Approved by the Institute Affairs Meeting for the Academic year 2003 on 16th March, 2004 Approved by the Institute Affairs Meeting for the Academic year 2003 on 20th May, 2004 Approved by the 8th College Faculty Evaluation Committee Meeting for the Academic year 2003 on 16th June, 2004 Approved by the Institute Affairs Meeting for the Academic year 2003 on 17th June, 2004 Approved by the Institute Affairs Meeting for the Academic year 2004 on 14th September, 2004 Approved by the 1st College Faculty Evaluation Committee Meeting for the Academic year 2004 on 30th September, 2004 Approved by the Institute Affairs Meeting for the Academic year 2005 on 21st February, 2006 Approved by the 8th College Faculty Evaluation Committee Meeting for the Academic year 2005 on 30th May, 2006 Approved by the Institute Affairs Meeting for the Academic year 2007 on 20th September, 2007 Approved by the 3rd College Faculty Evaluation Committee Meeting for the Academic year 2007 on 3rd October, 2007 Approved by the Institute Affairs Meeting for the Academic year 2013 on 7th January, 2014 Approved by the 6th College Faculty Evaluation Committee Meeting for the Academic year 2013 on 25th February, 2014 Authorized by the President on 10th March, 2014 Approved by the Institute Affairs Meeting for the Academic year 2014 on 21st October, 2014 Approved by the 3rd College Faculty Evaluation Committee Meeting for the Academic year 2014 on 3rd December, 2014 Approved by the Institute Affairs Meeting for the Academic year 2016 on 18th May, 2017 Approved by the 7th College Faculty Evaluation Committee Meeting for the Academic year 2016 on 23rd May, 2017 Authorized by the President on 29th June, 2017

- I. These regulations are enacted for implementing promotions of faculty members in the Institute of Economics (hereinafter the "Institute") in accordance with "Regulations Governing Accreditation of Teacher Qualifications at Junior Colleges and Institutions of Higher Education", at Ministry of Education, "Regulations of Teaching and Research Personnel Promotion Evaluation" at National Sun Yat-sen University (hereinafter "NSYSU", or the "University"), "Regulations of Faculty Promotion Evaluation" at Social Science College (hereinafter "SSC", or the "College"), and other applicable rules. Matters pertaining to the promotion of professorship rank of faculty in the Institute shall be subject to these regulations.
- II. Faculty members who apply for promotion of professorship rank (hereinafter "applicants") shall submit faculty promotion applications to the Institute within the timeline specified by the University and the College. The convener should convene the Faculty Evaluation Committee (hereinafter "FEC") and carry out the sequential affairs of review after receiving the application documents.
- III. Faculty members at all levels eligibly applying for a promotion (hereinafter "applicants") in the Institute must meet the following requirements:
 - A. Applicants must be qualified for the requirements proclaimed in Article 2 and 3 of NSYSU's *Regulations of Teaching and Research Personnel Promotion Evaluation*.
 - B. Applicants who satisfy a minimum of one of the criteria for promotion at their current

level can apply for the following promotions:

- 1. For associate professor to professor:
 - (1) Won the MOST Category A Research Award and/or the MOST Principal Investigator Award for a total of three times.
 - (2) Published one SSCI paper.
 - (3) Published one monograph with academic valuation and under an anonymous procedure of peer-review.
 - (4) Published either four journal-paper or four book-chapter under an annoyed procedure of peer-review.
 - (5) Published three TSSCI papers.
- 2. For assistant professor to associate professor:
 - (1) Won the MOST Category A Research Award and/or the MOST Principal Investigator Award for a total of two times.
 - (2) Published one SSCI paper.
 - (3) Published one monograph with academic valuation and under an anonymous procedure of peer-review.
 - (4) Published either three journal-paper or three book-chapter under an annoyed procedure of peer-review.
 - (5) Published two TSSCI papers.
- IV. Applicants shall submit up to ten scholarly publications or technical reports written at their current professorship rank as evidence of their research achievements in academia. Applicants shall select one of the above mentioned publications or reports as the representative work and list the rest as references. Serial publications pertaining to a single research project can be submitted as a single representative work. Applicants may be asked to provide the necessary documentation to facilitate the work of promotion review.
- V. The review criteria for faculty promotion in the Institute include academic research achievements, teaching outcomes, and service performance. Each attribute is weighted as follows: academic research is accounted for 70%; teaching performance for 20%; and service performance for 10%. The passing score is 70 or above with all three attributes combined. Scoring for each attribute shall be processed in accordance with the Article 4 of Regulations of Teaching and Research Personnel Promotion Evaluation at the University, the Article 5 of the Regulations of Faculty Promotion Evaluation, and Regulations of Faculty Promotion Evaluation Scoring at College of Social Sciences.

VI. Applications for promotion shall be submitted for, and pass, an initial review by the Faculty Evaluation Committee of the institute, and then to a secondary review by the College Faculty Evaluation Committee.

The procedures for the initial review, and the recommendation and selection of review committee members, shall be processed in accordance with the University's Regulations of Teaching and Research Personnel Promotion Evaluation.

- VII. The initial reviews for faculty promotion shall be attended by at least two thirds of all members of the Institute Faculty Evaluation Committee, which may also invite relevant individuals to provide additional information in writing or in person. A vote, if required, shall be administered anonymously. The passing threshold shall be two thirds of all members attending.
- VIII. Applicants who do not pass the initial review by the Institute Faculty Evaluation Committee shall be notified of the decision and the specific reasons and comments behind the decision in writing.

Applicants may appeal the Committee's decision in accordance with NSYSU's Regulations of Teaching and Research Personnel Promotion Evaluation or file a complaint directly with the NSYSU Personnel Grievances Committee pursuant to the Guidelines for Appraisal and Organization of NSYSU Personnel Grievances Committee.

- IX. Matters unaddressed in this set of regulations shall be processed in accordance with the Accreditation Regulations Governing Teacher Qualifications at Institutions of Higher Education, NSYSU's Regulations of Teaching and Research Personnel Promotion Evaluation, and other applicable regulations of the University.
- X. This set of regulations shall be implemented following the approval of the Institute Faculty Council, the review and approval of Faculty Evaluation Committee of the College, and the authorization of the President of the University. The same procedure shall be carried out when amendments are to made.