

# NSYSU College of Science Principles Governing Evaluation of New Faculty

Approved at the 1<sup>st</sup> College Academic Review Committee Meeting of the academic year of 2017 on May 23, 2018

1. In order to raise the academic quality and faculty lineup of this College to internationally competitive standards, and to attract exceptional talents and outstanding young teachers to serve for the university, these Principles are enacted pursuant to the “Principles for Recruitment and Retention of Special Outstanding and Talented Faculty”, “Implementation Regulations for Recruitment and Retention of Special Outstanding Talented Faculty”, and the “Guidelines Governing Recruitment of Domestic Outstanding Scholars and New Faculty” (hereinafter referred to as these Principles).
2. New faculty must meet this University’s basic qualifications referred to in either Article 57 of “Implementation Regulations for Recruitment and Retention of Special Outstanding Talented Faculty” or Point 2 of the “Guidelines Governing Recruitment of Domestic Outstanding Scholars and New Faculty”, before applying for an incentive reward.
3. The number of base units granted for the first year is always 2 units. Any researcher categorized for outstanding performance will first be evaluated by the College Academic Review Committee, and once the Committee has decided on recommendation and proposed additional incentive base unit (approximately 0.5-1 unit), then it will be submitted to the University Review Committee for determination. The actual base units granted from year 2 to year 3 will be evaluated and approved by the College Academic Review Committee in accordance with the research performance review of new faculty. The Principles of evaluation are as follows:
  - (1) First year additional incentive base unit: those meeting conditions stated below, will be approved and granted additional incentive reward totaling up to a maximum of 1 extra unit.
    1. Anyone who received research related award(s) or recognized for special achievement(s) within the past 7 years will be rewarded with an extra 0.5 unit, after review approval.
    2. Anyone who published at least 1 paper in a journal ranked in the in the top 25% of a JCR category within the past 5 years will be granted an extra 0.5 unit.
    3. Anyone who published at least 3 papers in a journal ranked in the in the top 25% of a JCR category within the past 5 years, or published at least 1 paper in a journal ranked in the top 10% of a JCR category will be granted an extra 1 unit.
  - (2) Base units granted from year 2 to year 3: those meeting conditions stated below, will be approved and granted additional incentives totaling up to a maximum of 3 extra base units.
    1. 1 base unit for the current principal investigator of a MOST research project.
    2. Anyone who received external research related award(s) or recognized for special achievement(s) while serving for the University will be rewarded with 1 extra unit after review approval.
    3. Anyone who published a paper under the name of the University while serving for this University, will be granted an extra 1 unit.
    4. Anyone who published a paper in the name of the University in a journal ranked in the top 25% of a JCR category, while serving for this University, will be granted an extra 0.5 unit.
    5. Anyone who published a paper under the name of the University in a journal ranked in the top 10% of a JCR category, while serving for this University, will be granted an extra 1 unit.
4. Regarding papers published in year 2 and year 3, the incentive reward is limited to papers in which the applicant is either the first author or the corresponding author, with the University as the first

affiliation. Any controversy/dispute relevant to the recognition/acknowledgment of such a paper shall be ruled by the College Academic Review Committee.

5. The housing subsidy is subject to the receipt of the above reward(s). Subsidy will not be granted without receiving any reward.
6. Any matters not covered by these principles are subject to related regulations of this University, or for the College Academic Review Committee to determine.
7. These principles shall be enforced upon approval by the College Academic Review Committee. The same shall apply where these Principles are amended.

# NSYSU College of Science “New Faculty Incentive Reward” Application Form

## I. Basic Information

Applicant’s Name: \_\_\_\_\_ College/Department/Institute: \_\_\_\_\_

Position Title: \_\_\_\_\_

Discipline: \_\_\_\_\_ On-Board Date: \_\_\_\_\_

## II. Applicant to Fill-In

(Please attach the complete list of publications, printed copies of important publications, and other related proof of documentations):

**When applying for first year incentive reward, please check the appropriate box and**

**attach related information:**

- Received research related award(s) or got recognized for special achievement(s) within the past 7 years.
- Published at least 1 paper in a journal ranked in the top 25% of a JCR category within the past 5 years.
- Published at least 3 papers in a journal ranked in the top 25% of a JCR category within the past 5 years.
- Published at least 1 paper in a journal ranked in the top 10% of a JCR category within the past 5 years.

**When applying for year 2/year 3 incentive reward, please check the appropriate box**

**and attach related information:**

- List of MOST approved specific-topic research projects currently carrying out as the principle investigator.
- Received external research related award(s) or got recognized for special achievement(s) while serving for the University.
- Published a paper with the University as the first affiliation while serving for the University, and was either the first author or the corresponding author.
- Abovementioned paper was published in a journal ranked in the top 25% of a JCR category.
- Abovementioned paper was published in a journal ranked in the top 10% of a JCR category.

III. Meet the domestic terms of Initial Contract (please refer to the regulations in Article 57 of “Implementation Regulations for Recruitment and Retention of Special Outstanding Talented Faculty”) and have not been allocated a dormitory space – are you applying for this University’s housing subsidy?  Yes  No (If checked YES, please send over to the Property Management Division for review; if checked NO, no action is necessary)

Applicant Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Head of Department/Institute Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Property Management Division Signature: \_\_\_\_\_

Stamp (Property Management Division reviewed: Applicant has not been assigned to a dormitory in the University)

## New Faculty Record of Achievements

Name: \_\_\_\_\_ Position Title: \_\_\_\_\_ Department/Institute: \_\_\_\_\_

### I. Research performance

1. A list of papers, book chapters, book that have been published or accepted. (Arranged according to the area of literature and in chronological order.)

Area of literature: SCI, SSCI, AHCI, THCI Core, TSSCI, conference papers, books, book chapters.					
Item	Area of literature	Complete list of publications (authors, title, journal, volume number, year of publication, IF, and journal ranking in JCR category). <b><u>Please use a special notation for any paper that has been accepted for publication and attach the letter of acceptance</u></b>	Was the paper published under the name of NSYSU?	Author number (please specify first, corresponding author)	Journal ranking in JCR category %
1					
2					
3					
4					
5					
...		Please add more rows when needed			

Remark:

- (1) Papers applicable for first year incentive reward are calculated based on the date the application is accepted. For example, if the application is accepted on August 1<sup>st</sup> 2018, then the inclusion period is rolled back 5 years from August 1<sup>st</sup>, 2018.
  - (2) On papers applicable for year 2 to year 3 incentive reward, the applicant is limited to either the first author or the corresponding author, with the University as the first affiliation.
  - (3) The applicant must attach the JCR category of the journal, volume no., journal ranking in the JCR category, and the journal's **latest published impact factor** for reference. The ranking is calculated by rounding up the percentage to the nearest integer, for example, 20.3% or 20.8%, both are 21%, and so forth.
2. Please provide details about the MOST specific-topic research project(s) for which you are the principal investigator, including project name, funding amount, and duration of the project in years. (Please attach the list of approved projects, as approval is subject to that list.)
- a.
  - b.
  - ...
- (1) Explanation of special achievements.(special works, honors/awards, patents, etc., please indicate the year of the achievement)
- a.
  - b.
  - ...

### II. Attended academic ethics education seminar in the past three years.

(Please attach certificate of attendance.)

- New faculty applying in the first year serving for this University, may be exempted from providing seminar attendance certificate.

In accordance to the “National Sun Yat-sen University Academic Ethics Implementation Plan”, the applicant should attach the certificate of attendance to any academic ethics seminar attended the past three years. New faculty applying in the first year serving for this University are exempted from providing seminar attendance certificate.

**III. Information review (Please check the appropriate box.)**

- Completed the publication column for papers, conference papers, books, and book Chapters.
- Made a special notation to any paper that has been accepted for publication and **attached the letter of acceptance.**
- Attached the list of MOST approved specific-topic research project(s).
- Provided proof of any special achievements.